



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In this Application of:

Applicant : Andrianov *et al.*  
Serial No. : 10/715,788  
Filed : November 18, 2003  
Title : POLYPHOSPHAZENE IMMUNOSTIMULANTS  
Examiner : Unassigned  
Art Unit : Unassigned  
Attorney Docket No. : 533860-99

**TRANSMITTAL LETTER**

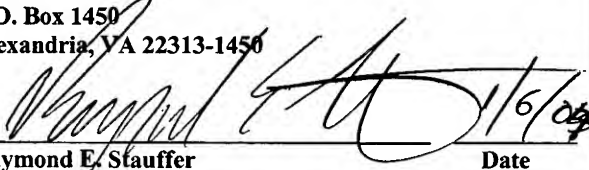
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed please find the following:

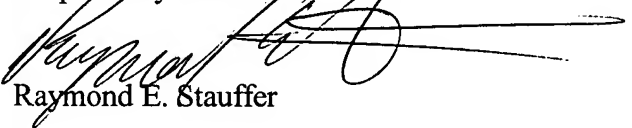
1. Information Disclosure Statement;
2. Form PTO-1449 with copies of references cited; and
3. A self-addressed, postage paid, return receipt postcard, the date-stamped return of which is respectfully requested.

The Commissioner is authorized to charge payment of any additional filing fees required under 37 C.F.R. 1.16 associated with this communication or to credit any overpayment, to Deposit Account No. 03-0678.

| <b><u>FIRST CLASS MAIL CERTIFICATE</u></b>  |                |
|---|----------------|
| I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service "First Class Mail" on the date indicated above addressed to: |                |
| Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |                |
| <br>Raymond E. Stauffer  | Date<br>1/6/04 |

#206132 v1

Respectfully submitted,

  
Raymond E. Stauffer

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COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 6, 2004

SIR:

Pursuant to 37 C.F.R. §1.56(a), Applicant(s) hereby cite(s) the enclosed documents listed on the attached copy of Form PTO-1449 which are believed to be material to the patentability of the above-identified application.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 indicated below:

  X   §1.97(b) This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits.  
No fee or statement is required.

       §1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

- (1) A Final Action or under 37 CFR §1.113; OR
- (2) A Notice of Allowance under 37 CFR §1.311; AND

is accompanied by either: (check one)

- ☐ The statement as specified in 37 CFR §1.97(e) set out below;  
OR
- ☐ The fee of \$180.00 under 37 CFR §1.17(p).

☐ §1.97(d) This Information Disclosure Statement is filed after the mailing date of either:

- (1) a Final Action or under 37 CFR §1.113; OR
- (2) A Notice of Allowance under 37 CFR §1.311;

BUT filed on or before payment of the Issue Fee; AND  
is accompanied by:

- (1) The statement as specified in 37 CFR §1.97(e) as set forth below; AND
- ☐ The fee of \$180.00 under 37 CFR §1.17(p).

☐ §1.97(e) The undersigned Attorney hereby states that:

☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement;

or

☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

Serial No: 10/715,788  
Filed: November 18, 2003

**CERTIFICATE OF MAILING**

Deposit Date: January 6, 2004

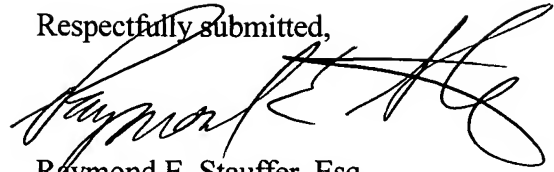
I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service with sufficient postage as First Class Mail to Addressee, under 37 CFR 1.8, on the date indicated above addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

  
Raymond E. Stauffer, Esq.

1/6/03  
Date

Respectfully submitted,



Raymond E. Stauffer, Esq.

Reg. No. 47,109

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#206105 v1 - no fee IDS